



N Sector  
Box/seq.  
MDhMORF-1

or Other than a Small Entity

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Sharron G. Penn et al.  
Serial No. : 09/774,203  
Confirmation No. : 7320  
Filed: : January 29, 2001  
For: : METHODS AND APPARATUS FOR PREDICTING,  
CONFIRMING, AND DISPLAYING FUNCTIONAL  
INFORMATION DERIVED FROM GENOMIC  
SEQUENCE  
Group Art Unit : To Be Assigned

**Box Missing Parts**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION

Sir:

Responsive to the May 18, 2001 Notice to File Missing  
Parts of Application, applicants enclose:

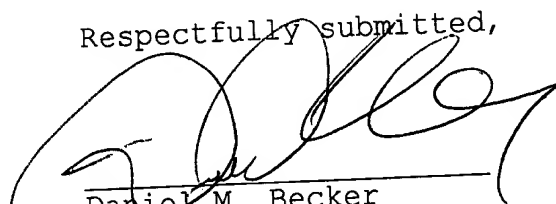
- an executed Declaration and Power of Attorney;
- a copy of the Notice to File Missing Parts;
- a check in the amount of \$130.00; and
- a Preliminary Amendment DIRECTING ENTRY OF SEQUENCE  
LISTING PROVIDED IN PAPER AND COMPUTER-READABLE, with  
copy of Sequence Listing, in paper and computer-

readable forms, as filed March 30, 2001, with statement  
under 37 C.F.R. § 1.821(f)

The Commissioner is hereby authorized to charge payment  
of any additional fees due in connection with the papers  
transmitted herewith, or credit any overpayment of same, to  
Deposit Account No. 06-1075. A duplicate copy of this response  
is enclosed.

Respectfully submitted,

1 JUNE 2001  
Date

  
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Registration No. 38,376  
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**I hereby Certify that this  
Correspondence is being  
Deposited with the U.S.  
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COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/774,203	01/29/2001	Sharron G. Penn	MDhMORF-1

CONFIRMATION NO. 7320

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## FORMALITIES LETTER



\*OC000000006092180\*

Date Mailed: 05/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

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- For PatentIn Softwar Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE